Key Assistance, Key Agreement, and Layered Secrecy for Bosonic Broadcast Channels

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  - Secret-key agreement is a promising method to achieve this goal, whereby the sender and receiver generate a secret key before communication takes place.

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  - Secret-key agreement is a promising method to achieve this goal, whereby the sender and receiver generate a secret key before communication takes place.
  - In practice, quantum key distribution (QKD) is the most mature application of quantum information theory
- In some noise models, communication can also be secured without key assistance.

- The Layered Secrecy model describes a network in which multiple users have different credentials to access confidential information.
- For example: a WiFi network of an agency, in which a user is allowed to receive files up to a certain security clearance, but should be kept ignorant of classified files that require a higher security level [Zou et al., 2015].
  - The agency can set the channel quality on a clearance basis by assigning more communication resources to users with a higher security rank.
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- The bosonic (Gaussian) channel is a simple quantum-mechanical model for optical communication over free space or optical fibers



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### Related Work

Very partial list:

**Classical Security** 

- Secret-key agreement [Maurer, 1993] [Ahlswede and Csiszár,1993]
- Wiretap channel with key assistance [Yamamoto, 2010]
- Layered secrecy [Ly, Liu, and Blankenship, 2012] [Zou, Liang, Lai, Poor, and Shamai, 2015]

Quantum Security

- Secret-key agreement [Devetak and Winter, 2005]
- Wiretap channel [Devetak, 2005] [Cai, Winter, and Yeung, 2004]
  - $\circ~$  key assistance [Hsieh, Luo, and Brun, 2008] [Wilde, 2011]
  - public/secret message [Hsieh and Wilde, 2009]
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Bosonic broadcast channels

- Classical capacity [Guha, Shapiro, and Erkmen, 2007]
- Entanglement distillation [Takeoka, Seshadreesan, and Wilde, 2017]
- Teleportation-covariant channel [Laurenza and Pirandola, 2017]
- Amplifier channel [Qi and Wilde, 2017]
- Covertness [Anderson, Guha, and Bash, 2021]

• ...

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- Confidential capacity region of the pure-loss bosonic broadcast channel with shared **key assistance** (under min output-entropy conjecture)
- Conference **key agreement** for the distillation and distribution of joint + private keys
- Quantum layered secrecy: Three receivers with different security levels

# Key Assistance and Key Agreement Definitions

• Main Results

#### • Layered Secrecy

- Channel Model
- Main Results

A quantum broadcast channel  $\mathcal{N}_{A \rightarrow BE}$  is a linear, completely positive, trace preserving map corresponding to a quantum physical evolution:

 $\rho_A \xrightarrow{\mathcal{N}} \rho_{BE}$ 

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Alice transmits a common message and a confidential message,  $m_0$  and  $m_1$ , resp. Bob — legitimate receiver of both  $m_0$  and  $m_1$ Eve — legitimate receiver of  $m_0$ , but also eavesdrops on  $m_1$  For a single-mode bosonic broadcast channel, the channel input is an electromagnetic field mode with annihilation operator  $\hat{a}$ , and the outputs are

$$egin{array}{ll} \hat{b} = \sqrt{\eta}\,\hat{a} + \sqrt{1-\eta}\,\hat{c} \ \hat{e} = \sqrt{1-\eta}\,\hat{a} - \sqrt{\eta}\,\hat{c} \end{array}$$



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where

- the noise mode  $\hat{c}$  is in a thermal Gaussian state (lossy) or vacuum state (pure-loss)
- the transmissivity  $\eta \in [0,1]$  captures the absorption length of the optical fiber



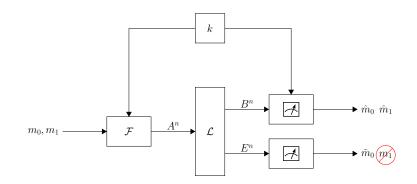
• A coherent state |lpha
angle corresponds to an oscillation of the electromagnetic field,

$$ert lpha 
angle = D(lpha) ert 0 
angle$$
  
 $D(lpha) \equiv \exp(lpha \hat{a}^{\dagger} - lpha^{*} \hat{a})$ 

• The transmitter employs a coherent state protocol.

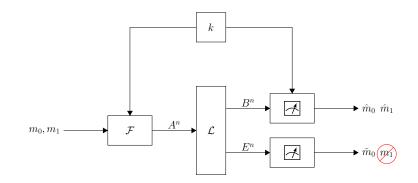
#### Communication Scheme (1)

A key k is drawn from  $[1:2^{nR_{\kappa}}]$  uniformly at random, and then shared between Alice and Bob.



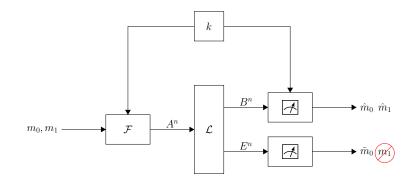
### Communication Scheme (2)

Alice chooses a common message  $m_0$  for both Bob and Eve, and a confidential message  $m_1$  for Bob.



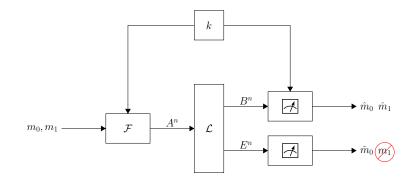
#### Communication Scheme (3)

Input: Alice prepares  $\rho_{A^n}^{m_0,m_1,k} = \mathscr{F}(m_0,m_1,k)$ , and transmits  $A^n$ . Output: Bob and Eve receive  $B^n$  and  $E^n$ , resp.



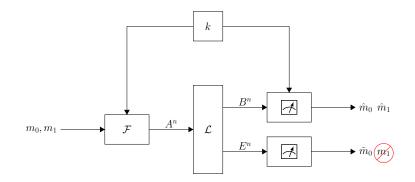
#### Communication Scheme (4)

Eve performs a measurement  $\Xi_{E^n}$ , and obtains  $\hat{m}_0$ . Bob performs a measurement  $\Gamma_{B^n|k}$ , and obtains  $\hat{m}_0$ ,  $\hat{m}_1$ .



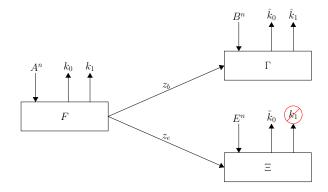
#### Security Requirement

$$I(M_1;E^n|M_0)_
ho
ightarrow 0$$
 as  $n
ightarrow\infty$ 



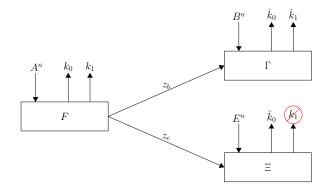
#### Key Agreement Protocol (1)

Alice, Bob, and Eve share a product state  $\omega_{ABE}^{\otimes n}$ .



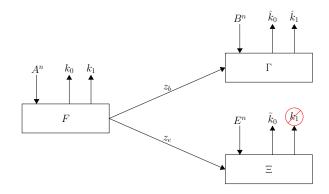
#### Key Agreement Protocol (2)

Alice performs a measurement  $F_{A^n}$ , producing  $k_0, k_1, z_b, z_e$ .



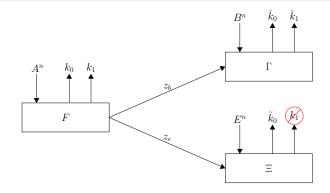
#### Key Agreement Protocol (3)

Alice sends  $z_b$  and  $z_e$  to Bob and Eve through a public channel. Bob and Eve receive  $(B^n, z_b)$  and  $(E^n, z_e)$ , resp.



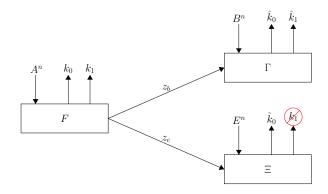
### Key Agreement Protocol (4)

Eve performs a measurement  $\Xi_{E^n|_{Z_e}}$ , and obtains  $\tilde{k}_0$ . Bob performs a measurement  $\Gamma_{B^n|_{Z_b}}$ , and obtains  $\hat{k}_0, \hat{k}_1$ .



#### Security Requirement

#### $I(Z_b, Z_e; K_0) \,, \; I(Z_b, Z_e, E^n; K_1)_{ ho} ightarrow 0 \; { m as} \; n ightarrow \infty$



#### • Key Assistance and Key Agreement

- Definitions
- Main Results

#### • Layered Secrecy

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Let g(N) denote the entropy of a thermal state with mean photon number N, i.e.,

$$g(N) = \begin{cases} (N+1)\log(N+1) - N\log(N) & \text{if } N > 0\\ 0 & \text{if } N = 0 \end{cases}$$

Minimum Output-Entropy Conjecture [Guha and Shapiro, 2007]

Given a pure-loss bosonic channel, if  $H(A^n)_{\rho} = ng(N_A)$ , then  $H(B^n)_{\rho} \ge ng(\eta N_A)$ .

### Theorem (1)

Assume that the min output-entropy conjecture holds. Then, the capacity region of the pure-loss bosonic broadcast channel with confidential messages and key assistance is as follows. If  $\eta \geq \frac{1}{2}$ , then

$$\mathcal{C}(\mathcal{N}_{pure-loss}) = \bigcup_{0 \le \beta \le 1} \left\{ \begin{array}{cc} (R_0, R_1) : R_0 \le g((1-\eta)N_A) - g((1-\eta)\beta N_A) \\ R_1 \le g(\eta\beta N_A) - g((1-\eta)\beta N_A) + R_K \\ R_1 \le g(\eta\beta N_A) \end{array} \right\}$$

## Theorem (2)

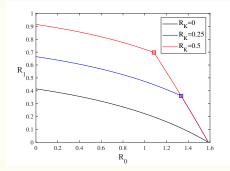
Otherwise, if  $\eta < \frac{1}{2}$ ,

$$\mathcal{C}(\mathscr{N}_{pure-loss}) = \bigcup_{0 \le \beta \le 1} \left\{ \begin{array}{cc} (R_0, R_1) : R_0 \le & g((1-\eta)N_A) - g((1-\eta)\beta N_A) \\ R_1 \le & \min(g(\eta\beta N_A), R_K) \end{array} \right\}.$$

In this case, Bob has a noisier channel than Eve, and the confidential communication relies fully on the secret key (one-time pad).

# Main Results: Key Assistance (Cont.)

Given the transmissivity  $\eta = 0.6$  and input constraint  $N_A = 5$ :



For low common rates, the shared key is fully used to enhance the confidential communication. Whereas, for high rates, the key is only partially used.

The 'breaking point' corresponds to  $\beta_0$  such that  $g((1 - \eta)\beta_0 N_A) = R_K$ .

## Proof outline

- Achievability is interpreted as a "superposition coding scheme", which consists of cloud centers  $t^n(m_0)$  and satellites  $x^n(m_0, m_1)$ .
  - The cloud vector is chosen at random from a bin of size  $2^{n[g((1-\eta)\beta N_A)+\delta]}$ , to ensure that Eve can recover the cloud center, but not the satellite.
- The technical challenge is in the converse proof, which requires the min output-entropy conjecture. In the proof, it is applied to the degrading channel from Bob to Eve.

## Remark

- The long-standing conjecture is known to hold in special cases, such as
  - $\circ$  n = 1 [De Palma, Trevisan, and Giovannetti, 2017]
  - $\circ \rho_{A^n} = |\phi\rangle\langle\phi|$  [Giovannetti, Holevo, and García-Patrón, 2015]
- no longer needed for the single-user wiretap channel, i.e., for  $R_0 = 0$  [Wilde and Qi, 2018]

Define the key-rate region,

$$\mathsf{K}(\omega_{ABE}) = \\ \bigcup_{\Lambda_A, \, \rho_{T_0, \, T_1 \mid X}} \left\{ \begin{array}{cc} (R_0, R_1) \, : \, R_0 \leq & \min\left(I(T_0; B)_{\omega}, \, I(T_0; E)_{\omega}\right) \\ R_1 \leq & \left[I(X; \, B \mid T_0, \, T_1)_{\omega} - I(X; E \mid T_0, \, T_1)_{\omega}\right]_+ \end{array} \right\}$$

where  $[x]_+ = \max(x, 0)$ , and the union is over the POVMs  $\Lambda_A = {\{\Lambda_A^x\}_{x \in \mathcal{X}}}$  and distributions  $p_{\mathcal{T}_0, \mathcal{T}_1 | X}$ , with

$$\omega_{BE}^{t_0,t_1,x} \equiv \operatorname{Tr}_A\left((\Lambda_A^x \otimes \mathbb{1} \otimes \mathbb{1})\omega_{ABE}\right).$$

The key-agreement capacity region for the distillation of a public key and a secret key from  $\omega_{ABE}$  in finite dimensions is given by

$$\mathcal{K}(\omega_{ABC}) = \bigcup_{n=1}^{\infty} \frac{1}{n} \mathsf{K}(\omega_{ABC}^{\otimes n}).$$

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### Corollary

For a degraded broadcast channel,

$$\mathcal{C}_{k-a}(\mathcal{N},0) = \bigcup_{\omega_{ABE} : \omega_{BE} = \mathcal{N}_{A \to BE}(\omega_{A})} \mathcal{K}(\omega_{ABC}).$$

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## Corollary

For a degraded broadcast channel,

$$\mathcal{C}_{\mathsf{k}-\mathsf{a}}(\mathscr{N},0) = \bigcup_{\omega_{ABE} : \, \omega_{BE} = \mathscr{N}_{A \to BE}(\omega_{A})} \mathcal{K}(\omega_{ABC}).$$

• In particular, for thermal states that are associated with a pure-loss bosonic channel, the key-agreement capacity region is a subset of the confidential capacity region with  $R_{\kappa} = 0$ .

#### • Key Assistance and Key Agreement

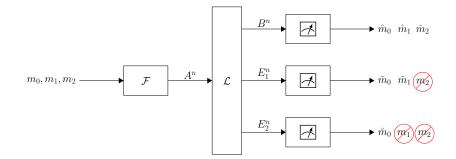
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## Quantum Broadcast Channel with 3 Receivers

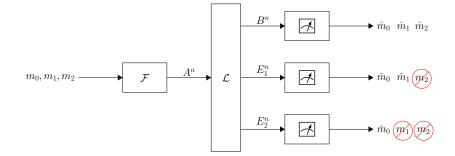
Consider a channel  $\mathcal{N}_{A \to BE_1E_2}$  with three receivers, Bob, Eve 1, and Eve 2. Alice sends three messages.



## Coding with Layered Secrecy

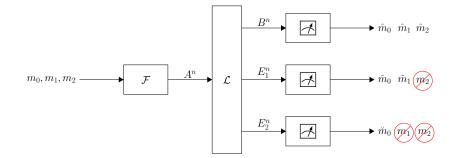
### Layer-0

The common message  $m_0$  is intended for all three receivers.



### Layer-1

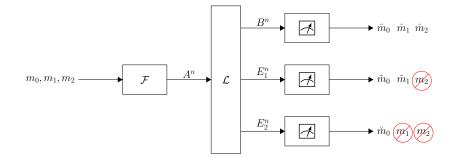
In the next layer, the confidential message  $m_1$  is decoded by Bob and Eve 1, but should remain secret from Eve 2.



# Coding with Layered Secrecy

#### Layer-2

The confidential message  $m_2$  is decoded by Bob, but should remain secret from both Eve 1 and Eve 2.



#### • Key Assistance and Key Agreement

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Given a 3-receiver broadcast channel  $\mathcal{N}_{A \rightarrow BE_1E_2}$ , define the rate region,

$$\begin{aligned} \mathcal{R}_{\mathsf{LS}}(\mathscr{N}) &= \bigcup_{\substack{\rho_{X_0, X_1, X_2}, \varphi_A^{X_0, X_1, X_2}}} \\ \left\{ \begin{array}{c} (R_0, R_1, R_2) : R_0 \leq I(X_0; E_2)_{\rho} \\ R_1 \leq [I(X_1; E_1 | X_0)_{\rho} - I(X_1; E_2 | X_0)_{\rho}]_{+} \\ R_2 \leq [I(X_2; B | X_0, X_1)_{\rho} - I(X_2; E_1 E_2 | X_0, X_1)_{\rho}]_{+} \end{array} \right\} \end{aligned}$$

where the union is over the distribution  $p_{X_0,X_1,X_2}$ , and the state collections  $\{\varphi_A^{x_0,x_1,x_2}\}$ , with  $\rho_{BE_1E_2}^{x_0,x_1,x_2} = \mathscr{N}_{A \to BE_1E_2}(\varphi_A^{x_0,x_1,x_2})$ .

The layered-secrecy capacity region of the quantum degraded broadcast channel  $\mathcal{N}_{A \to BE_1E_2}$  in finite dimensions is given by

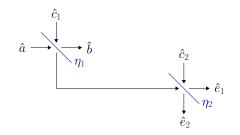
$$\mathcal{C}_{\mathsf{LS}}(\mathscr{N}) = \bigcup_{n=1}^{\infty} \frac{1}{n} \mathcal{R}_{\mathsf{LS}}(\mathscr{N}^{\otimes n})$$

# Main Results: Layered Secrecy (Cont.)

### Theorem

A layered-secrecy rate tuple  $(R_0, R_1, R_2)$  is achievable over the pure-loss bosonic broadcast channel if

$$\begin{split} &R_0 \leq g\big((1-\eta_1)(1-\eta_2)N_A\big) - g\big((\beta_1+\beta_2)(1-\eta_1)(1-\eta_2)N_A\big), \\ &R_1 \leq g\big((\beta_1+\beta_2)\eta_2(1-\eta_1)N_A\big) - g\big(\beta_2\eta_2(1-\eta_1)N_A\big) \\ &- \big[g\big((\beta_1+\beta_2)(1-\eta_2)(1-\eta_1)N_A\big) - g\big(\beta_2(1-\eta_2)(1-\eta_1)N_A\big)\big], \\ &R_2 \leq g\big(\eta_1\beta_2N_A\big) - g\big((1-\eta_1)\beta_2N_A\big), \text{ for some } \beta_1, \beta_2 \geq 0 \text{ s.t. } \beta_1 + \beta_2 \leq 1. \end{split}$$



### Thank You!