Bosonic Dirty Paper Coding

Uzi Pereg

Institute for Communications Engineering Technical University of Munich (TUM)

ISIT 2021





• Optical communication forms the backbone of the Internet



- Optical communication forms the backbone of the Internet
- The bosonic (Gaussian) channel is a simple quantum-mechanical model for optical communication over free space or optical fibers



For a single-mode lossy bosonic channel, the channel input is an electromagnetic field mode with annihilation operator \hat{a} , and the output is

$$\hat{b}=\sqrt{\eta}\,\hat{a}+\sqrt{1-\eta}\,\hat{e}$$





For a single-mode lossy bosonic channel, the channel input is an electromagnetic field mode with annihilation operator \hat{a} , and the output is

$$\hat{b}=\sqrt{\eta}\,\hat{a}+\sqrt{1-\eta}\,\hat{e}$$

where

• the noise mode \hat{e} is in a thermal Gaussian state





For a single-mode lossy bosonic channel, the channel input is an electromagnetic field mode with annihilation operator \hat{a} , and the output is

$$\hat{b}=\sqrt{\eta}\,\hat{a}+\sqrt{1-\eta}\,\hat{e}$$

where

- the noise mode \hat{e} is in a thermal Gaussian state
- the transmissivity $\eta \in [0,1]$ depends on the absorption length of the optical fiber





- The transmitter employs a coherent state protocol.
- A coherent state $|\alpha\rangle$ corresponds to an oscillation of the electromagnetic field,

$$ert lpha
angle = D(lpha) ert 0
angle$$

 $D(lpha) \equiv \exp(lpha \hat{a}^{\dagger} - lpha^{*} \hat{a})$



We consider the single-mode lossy bosonic channel with a coherent-state protocol and non-ideal modulation:



Applications

- o classical interference in the transmission equipment
- watermarking with a quantum embedding



- Homodyne and heterodyne detection
- Joint detection
 - DPC lower bound
 - MMSE coefficient is sub-optimal



In the Gel'fand-Pinsker model,

• the channel depends on a random parameter, $S \sim p_S$, while the transmitter has channel side information (CSI).

Applications:

- cognitive radio in wireless systems
- memory storage
- digital watermarking



Theorem (GP, 1980; Heegard and El Gamal, 1983)

The capacity of a random-parameter classical channel $W_{Y\mid X,S}$ with CSI at the transmitter is given by

$$C(W) = \max_{p_{U,X|S}} (I(U;Y) - I(U;S))$$

where $U \oplus (X, S) \oplus Y$ form a Markov chain.



Gaussian Channel with Additive Interference

Consider

$$Y = X + S + Z$$

with Gaussian noise $Z \sim \mathcal{N}_{\mathbb{R}}(0,\sigma^2)$ and interference S.



Gaussian Channel with Additive Interference

 $\mathsf{Consider}$

$$Y = X + S + Z$$

with Gaussian noise $Z \sim \mathcal{N}_{\mathbb{R}}(0,\sigma^2)$ and interference S.

Theorem (Costa, 1983)

The capacity of the Gaussian channel with additive interference and CSI at the transmitter is the same as if there is no interference, i.e. $C(W) = \frac{1}{2} \log \left(1 + \frac{P}{\sigma^2}\right).$



$$Y = X + S + Z$$

Theorem (Costa, 1983)

The capacity of the Gaussian channel with additive interference and CSI at the transmitter is the same as if there is no interference, i.e. $C(W) = \frac{1}{2} \log \left(1 + \frac{P}{\sigma^2}\right).$

• It is not obvious. The trivial strategy is to send X = U - S such that $U \perp S$, resulting in an intereference-free output, Y = U + Z. However, this strategy would waste transmission power.



Dirty Paper Coding (DPC) Strategy

Set

 $U=X+tS\,,$

where $X \sim \mathcal{N}_{\mathbb{R}}(0, P)$, such that X is statistically independent of S.



Dirty Paper Coding (DPC) Strategy

Set

 $U=X+tS\,,$

where $X \sim \mathcal{N}_{\mathbb{R}}(0, P)$, such that X is statistically independent of S.

The optimal choice for t turns out to be the same as that of the minimum mean-square error (MMSE) estimator $\hat{X} = t(X + Z)$, i.e.

$$t = \frac{P}{P + \sigma_Z^2}.$$



Dirty Paper Coding (DPC) Strategy

Set

 $U=X+tS\,,$

where $X \sim \mathcal{N}_{\mathbb{R}}(0, P)$, such that X is statistically independent of S.

The optimal choice for t turns out to be the same as that of the minimum mean-square error (MMSE) estimator $\hat{X} = t(X + Z)$, i.e.

$$t = \frac{P}{P + \sigma_Z^2}$$

Explicit lattice codes were proposed e.g. by [Erez and ten Brink, 2005].



Bosonic Model

Lossy Bosonic Channel

The channel input is an electromagnetic field mode with annihilation operator \hat{a} , and the output is another mode,

$$\hat{b}=\sqrt{\eta}\,\hat{a}+\sqrt{1-\eta}\,\hat{e}$$





Uzi Pereg

Bosonic Model

Lossy Bosonic Channel

The channel input is an electromagnetic field mode with annihilation operator \hat{a} , and the output is another mode,

$$\hat{b} = \sqrt{\eta}\,\hat{a} + \sqrt{1-\eta}\,\hat{e}$$

where

• the noise mode \hat{e} is in a thermal state, $\tau(N_E) \equiv \int_{\mathbb{C}} d^2 \alpha \frac{e^{-|\alpha|^2/2N_E}}{\pi N_E} |\alpha\rangle \langle \alpha |$





Uzi Pereg

Lossy Bosonic Channel

The channel input is an electromagnetic field mode with annihilation operator \hat{a} , and the output is another mode,

$$\hat{b} = \sqrt{\eta}\,\hat{a} + \sqrt{1-\eta}\,\hat{e}$$

where

- the noise mode \hat{e} is in a thermal state, $\tau(N_E) \equiv \int_{\mathbb{C}} d^2 \alpha \frac{e^{-|\alpha|^2/2N_E}}{\pi N_E} |\alpha\rangle \langle \alpha |$
- $\blacksquare \ 0 \leq \eta \leq 1$ is the transmissivity, which depends on the absorption length of the optical fiber





Coding with CSI

■ Alice chooses $m \in \{1, 2, ..., M\}$ Encoding: $m \mapsto (\alpha_i(m, s_1, ..., s_n))_{i=1}^n, |\alpha_i| \le N_A$.



Coding with CSI

- Alice chooses $m \in \{1, 2, ..., M\}$ Encoding: $m \mapsto (\alpha_i(m, s_1, ..., s_n))_{i=1}^n, |\alpha_i| \le N_A$.
- Non-ideal modulation: $\alpha_i \longrightarrow |\alpha_i + s_i\rangle$



Coding with CSI

- Alice chooses $m \in \{1, 2, ..., M\}$ Encoding: $m \mapsto (\alpha_i(m, s_1, ..., s_n))_{i=1}^n, |\alpha_i| \leq N_A$.
- Non-ideal modulation: $\alpha_i \longrightarrow |\alpha_i + s_i\rangle$
- Bob receives the channel output Decoding measurement: $\rho_{B^n} \mapsto \hat{M}$



Coding with CSI

- Alice chooses $m \in \{1, 2, ..., M\}$ Encoding: $m \mapsto (\alpha_i(m, s_1, ..., s_n))_{i=1}^n, |\alpha_i| \leq N_A$.
- Non-ideal modulation: $\alpha_i \longrightarrow |\alpha_i + s_i\rangle$
- Bob receives the channel output Decoding measurement: $\rho_{B^n} \mapsto \hat{M}$

The coding rate is defined as $R = \frac{\log(M)}{n}$ [bits per transmission], and the maximal probability of error is denoted by $P_e^{(n)} = \max_m \Pr(\hat{M} \neq m|m)$. A rate R > 0 is called achievable if there exists a sequence of $(\lceil 2^{nR} \rceil, n)$ codes such that $P_e^{(n)} \to 0$ as $n \to \infty$. The operational capacity $C(\mathcal{E})$ is defined as the supremum of achievable rates.



This can be viewed as a watermarking model with a quantum embedding.

- Given a classical host data sequence s₁,..., s_n, Alice encodes an authentication message m into a watermark (α_i(m, s₁,..., s_n))ⁿ_{i=1}.
- Next, she performs a quantum embedding of the watermark; she prepares a watermarked state |\(\alpha_i + s_i\)\) ("stegotext"') and transmits it to Bob through the optical fiber.
- The capacity of the random-parameter bosonic channel represents the optimal rate at which the authenticator, Bob, can recover the messages with high fidelity.



A homodyne measurement of a quadrature observable is implemented by combining the target quantum mode with an intense local oscillator at a 50:50 beam splitter, and measuring the photocurrent difference of the outgoing modes using two photodetectors.





When homodyne detection is used with a coherent-state protocol, the resulting channel \mathcal{E}_{hom} is the classical Gaussian channel

$$Y = \sqrt{\eta}(\alpha + S) + Z_{hom}$$

with a real-valued $S \sim \mathcal{N}_{\mathbb{R}}(0, N_S)$ and noise $Z_{\text{hom}} \sim \mathcal{N}_{\mathbb{R}} \left(0, \frac{1}{4} \left[2(1-\eta)N_E + 1\right]\right)$



Using the DPC scheme, $\alpha \sim \mathcal{N}_{\mathbb{R}}(0, N_A)$ and

$$U = \alpha + t_0 S$$

such that α and S are uncorrelated, with $t_0 = \frac{\eta N_A}{\eta N_A + \text{var}(Z_{\text{hom}})}$.

The effect of the interference is thus removed, and the capacity is given by

$$C(\mathcal{E}_{\mathsf{hom}}) = \frac{1}{2} \log_2 \left(1 + \frac{4\eta N_A}{2(1-\eta)N_E + 1} \right)$$

as without interference.



In heterodyne detection, two quadratures are measured by combining the measured mode with a vacuum mode into a 50:50 beam splitter, and homodyning the quadratures of the outcome modes.





Heterodyne detection is described by a random-parameter channel \mathcal{E}_{het} with complex-valued Gaussian noise,

$$Y = \sqrt{\eta}(\alpha + S) + Z_{het}$$

with a complex-valued circularly-symmetric Gaussian random parameter $S \sim \mathcal{N}_{\mathbb{C}}(0, \frac{1}{2}N_S)$ and noise $Z_{\text{het}} \sim \mathcal{N}_{\mathbb{C}}(0, \frac{1}{2}[(1 - \eta)N_E + 1])$.



Using the DPC scheme, $\alpha \sim \mathcal{N}_{\mathbb{C}}(0, \frac{1}{2}N_A)$ and

$$U = \alpha + t_1 S$$

such that α and S are uncorrelated, with $t_1 = \frac{\eta N_A}{\eta N_A + var(Z_{het})}$.

The capacity is given by

$$C(\mathcal{E}_{het}) = \log\left(1 + \frac{\eta N_A}{(1-\eta)N_E + 1}\right)$$



the quantum counterpart of the classical channel with additive white Gaussian noise (AWGN) $% \left(AWGN\right) =0$



For joint detection, the channel does not have a classical description.

Applying the previous result in [P., 2020] for a quantum channel with random parameters, and using the DPC strategy, we obtain the lower bound $C(\mathcal{E}_{\text{joint}}) \geq R_{\text{DPC}}(t)$,

$$\mathsf{R}_{\mathsf{DPC}}(t) \equiv I(\gamma; B) - I(\gamma; S) \Big|_{\gamma = \alpha + tS}$$



DPC Lower Bound

wh

$$R_{DPC}(t) = g(\eta(N_A + N_S) + (1 - \eta)N_E) - g\left(\frac{\eta(1 - t)^2 N_A N_S}{N_A + t^2 N_S} + (1 - \eta)N_E\right) - \log_2\left(\frac{N_A + t^2 N_S}{N_A}\right)$$
where

$$g(N) = \begin{cases} (N+1)\log_2(N+1) - N\log_2(N) & N > 0\\ 0 & N = 0. \end{cases}$$



Pure-Loss Bosonic Channel

For $N_E \rightarrow 0$,

$$\mathsf{R}_{\mathsf{DPC}}(t) = g(\eta(N_A + N_S)) - g\left(\frac{\eta(1-t)^2 N_A N_S}{N_A + t^2 N_S}\right) - \log\left(\frac{N_A + t^2 N_S}{N_A}\right)$$



Results: Joint Detection (Cont.)

For example, suppose that $N_A = N_S = 2$ and $\eta = \frac{1}{2}$. Then,

$$\mathsf{R}_{\mathsf{DPC}}(t) = g(2) - g\left(rac{(1-t)^2}{1+t^2}
ight) - \log(1+t^2)$$





Uzi Pereg

• Ignoring the CSI: $R_{DPC}(t=0) = 0.7549$



- Ignoring the CSI: $R_{DPC}(t=0) = 0.7549$
- Using DPC with MMSE coefficient $t_0 = \frac{2}{2+0} = 1$, we obtain a better rate: $R_{DPC}(t = 1) = 1.7549$



- Ignoring the CSI: $R_{DPC}(t=0) = 0.7549$
- Using DPC with MMSE coefficient $t_0 = \frac{2}{2+0} = 1$, we obtain a better rate: $R_{DPC}(t = 1) = 1.7549$
- Optimal DPC coefficient is $t_{max} = 0.8065 \Rightarrow R_{DPC}(t_{max}) = 1.8750$



- Ignoring the CSI: $R_{DPC}(t=0) = 0.7549$
- Using DPC with MMSE coefficient $t_0 = \frac{2}{2+0} = 1$, we obtain a better rate: $R_{DPC}(t = 1) = 1.7549$
- Optimal DPC coefficient is $t_{max} = 0.8065 \Rightarrow R_{DPC}(t_{max}) = 1.8750$
- joint-detection capacity without interference: g(1) = 2.



Thank you

